

# Algebraic characterizations of distance-regular graphs

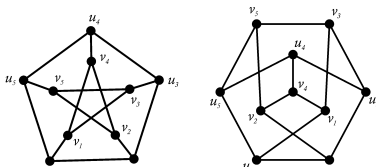
(Master of Science Thesis)

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# Outline I

## 1 Basic results from algebraic graph theory

- (a.1) Perron-Frobenius theorem
- (a.2) The number of walks
- (a.3) The total number of rooted closed walks
- (a.4) The adjacency (or Bose-Mesner) algebra  $\mathcal{A}(\Gamma)$
- (a.5) Hoffman polynomial

## 2 Distance-regular graphs

- Definition of distance-regular graph
- Definition of local distance-regular graph
- Characterization of DRG involving the distance matrices
- Examples of distance-regular graphs
- Characterization of DRG involving the distance polynomials

## Outline II

### 3 Characterizations involving the spectrum

Characterization of DRG involving the principal idempotent matrices

Characterizations involving the spectrum

## Perron-Frobenius theorem

- Background of problem: Assume that someone give us some matrix  $A$ . What we can say about maximum eigenvalue of  $A$ , and appropriate eigenvector for that eigenvalue?

### Theorem (Perron-Frobenius)

Let  $M$  be a nonnegative irreducible symmetric matrix. Then the largest eigenvalue  $\lambda_0$  has algebraic multiplicity 1 and has an eigenvector whose entries are all positive. For all other eigenvalues we have  $|\lambda_j| \leq \lambda_0$ .

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## Perron-Frobenius theorem

- Since  $\Gamma$  is connected,  $\mathbf{A}$  is an irreducible nonnegative matrix. Then, by the Perron-Frobenius theorem, the maximum eigenvalue  $\lambda_0$  is simple, positive (in fact, it coincides with the spectral radius of  $\mathbf{A}$ ), and has a positive eigenvector  $\mathbf{v}$ , say, which is useful to normalize in such a way that  $\min_{u \in V} \mathbf{v}_u = 1$ . Moreover,  $\Gamma$  is regular if and only if  $\mathbf{v} = \mathbf{j}$ , the all-1 vector (then  $\lambda_0 = \delta$ , the degree of  $\Gamma$ ).

## Perron-Frobenius theorem - example

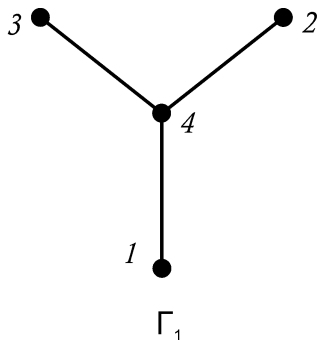
- a) Consider matrix  $A = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}$ . Characteristic

polynomial of  $A$  is

$$\text{char}(\lambda) = \lambda^2(\lambda - \sqrt{3})(\lambda + \sqrt{3}).$$

It follows that, maximum eigenvalue  $\lambda_0 = \sqrt{3}$  is simple, positive and coincides with spectral radius of  $A$ . Eigenvector for eigenvalue  $\lambda_0$  is  $\mathbf{v} = (1, 1, 1, \sqrt{3})^T$ , so it is positive.

## Perron-Frobenius theorem - example



$$\mathbf{A} = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix} \end{matrix}$$

Simple graph  $\Gamma_1$  and its adjacency matrix.



## Perron-Frobenius theorem - example

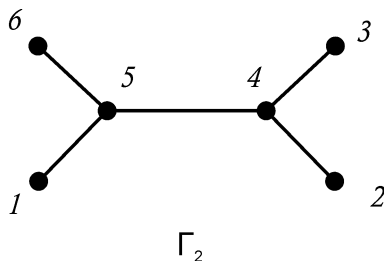
• b) Consider matrix  $A = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$ . Characteristic

polynomial of  $A$  is

$$\text{char}(\lambda) = \lambda^2(\lambda - 1)(\lambda - 2)(\lambda + 1)(\lambda + 2).$$

It follows that, maximum eigenvalue  $\lambda_0 = 2$  is simple, positive and coincides with spectral radius of  $A$ . Eigenvector for eigenvalue  $\lambda_0$  is  $\mathbf{v} = (1, 1, 1, 2, 2, 1)^\top$ , so it is positive.

## Perron-Frobenius theorem - example



$$\mathbf{A} = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \end{matrix} & \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \end{matrix}$$

Simple graph  $\Gamma_2$  and its adjacency matrix.

## The number of walks of a given length

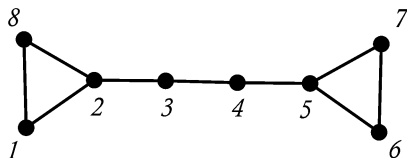
- Background of problem: Assume that someone give us some graph  $\Gamma$ . What is easiest way to count the number of walks of given length  $k \geq 0$  between vertices  $u$  and  $v$ ?
- The number of walks of length  $k \geq 0$  between vertices  $u$  and  $v$  is  $a_{uv}^k := (\mathbf{A}^k)_{uv}$ .

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## The number of walks of a given length - example

- Consider graph  $\Gamma_3$ .



$\Gamma_3$

$$A = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \\ 8 \end{matrix} & \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \end{matrix}$$

Simple graph  $\Gamma_3$  and its adjacency matrix.

## The number of walks of a given length - example

- Let's say that we want to find number of walks of length 4 and 5, between vertices 3 and 7. Then first that we need to do is to find adjacency matrix for  $\Gamma_3$ . After that we need to find  $(3, 7)$ -entry (or  $(7, 3)$ -entry) of  $A^4$  and  $A^5$ .

## The number of walks of a given length - example

• We have  $A^4 =$  
$$\begin{bmatrix} 7 & 6 & 5 & 1 & 1 & 0 & 0 & 6 \\ 6 & 12 & 2 & 5 & 0 & 1 & 1 & 6 \\ 5 & 2 & 7 & 0 & 5 & 1 & 1 & 5 \\ 1 & 5 & 0 & 7 & 2 & 5 & 5 & 1 \\ 1 & 0 & 5 & 2 & 12 & 6 & 6 & 1 \\ 0 & 1 & 1 & 5 & 6 & 7 & 6 & 0 \\ 0 & 1 & 1 & 5 & 6 & 6 & 7 & 0 \\ 6 & 6 & 5 & 1 & 1 & 0 & 0 & 7 \end{bmatrix}$$
 and

$A^5 =$  
$$\begin{bmatrix} 12 & 18 & 7 & 6 & 1 & 1 & 1 & 13 \\ 18 & 14 & 17 & 2 & 7 & 1 & 1 & 18 \\ 7 & 17 & 2 & 12 & 2 & 6 & 6 & 7 \\ 6 & 2 & 12 & 2 & 17 & 7 & 7 & 6 \\ 1 & 7 & 2 & 17 & 14 & 18 & 18 & 1 \\ 1 & 1 & 6 & 7 & 18 & 12 & 13 & 1 \\ 1 & 1 & 6 & 7 & 18 & 13 & 12 & 1 \\ 13 & 18 & 7 & 6 & 1 & 1 & 1 & 12 \end{bmatrix}.$$

## The total number of closed walks of a given length

- Background of problem: Assume that someone give us some simple graph  $\Gamma$ . How can we compute the total number of rooted closed walks of given length?
- If  $\Gamma = (V, E)$  has spectrum

$$\text{spec}(\Gamma) = \{\lambda_0^{m(\lambda_0)}, \lambda_1^{m(\lambda_1)}, \dots, \lambda_d^{m(\lambda_d)}\}$$

then the total number of (rooted) closed walks of length  $l \geq 0$  is  $\text{tr}(\mathbf{A}^l) = \sum_{i=0}^d m(\lambda_i) \lambda_i^l$ .



## The total number of closed walks of a given length

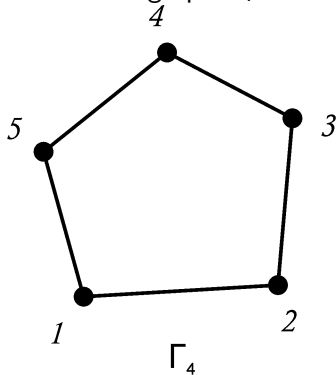
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## The total number of closed walks - example

- Consider graph  $\Gamma_4$



$$\mathbf{A} = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \begin{bmatrix} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 \end{bmatrix} \end{matrix}$$

Simple graph  $\Gamma_4$  and its adjacency matrix.

## The total number of closed walks - example

- This graph has three eigenvalues  $\lambda_0 = 2$ ,  $\lambda_1 = \frac{\sqrt{5}}{2} - \frac{1}{2}$ ,  $\lambda_2 = -\frac{\sqrt{5}}{2} - \frac{1}{2}$ , and spectrum:

$$\text{spec}(\Gamma_4) = \left\{ 2^1, \left( \frac{\sqrt{5}}{2} - \frac{1}{2} \right)^2, \left( -\frac{\sqrt{5}}{2} - \frac{1}{2} \right)^2 \right\}$$

- Total number of rooted closed walks of length 3, 4 and 5 is

$$\text{tr}A^3 = 1 \cdot 2^3 + 2 \cdot \left( \frac{\sqrt{5}}{2} - \frac{1}{2} \right)^3 + 2 \cdot \left( -\frac{\sqrt{5}}{2} - \frac{1}{2} \right)^3 = 0,$$

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and

$$\text{tr}A^5 = 1 \cdot 2^5 + 2 \cdot \left( \frac{\sqrt{5}}{2} - \frac{1}{2} \right)^5 + 2 \cdot \left( -\frac{\sqrt{5}}{2} - \frac{1}{2} \right)^5 = 10.$$

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## The adjacency (or Bose-Mesner) algebra $\mathcal{A}(\Gamma)$

- Background of problem: Assume that someone give us some simple graph  $\Gamma$ . Can we form (define) some algebra that is connected with this graph? Can we say something about connection between the number of distinct eigenvalues and the diameter of graph?

### Definition (adjacency algebra)

The adjacency (or Bose-Mesner) algebra of a graph  $\Gamma$  is algebra of matrices which are polynomials in  $\mathbf{A}$  under the usual matrix operations. We shall denote this algebra by  $\mathcal{A} = \mathcal{A}(\Gamma)$ . Therefore

$$\mathcal{A}(\Gamma) = \{p(\mathbf{A}) : p \in \mathbb{R}[x]\}$$

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## The adjacency (or Bose-Mesner) algebra $\mathcal{A}(\Gamma)$

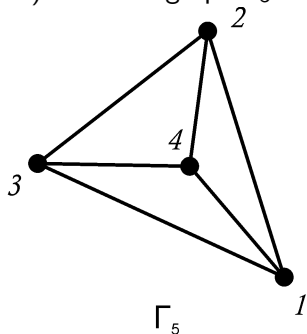
- If  $\Gamma$  has  $d + 1$  distinct eigenvalues, then  $\{I, \mathbf{A}, \mathbf{A}^2, \dots, \mathbf{A}^d\}$  is a basis of the adjacency or Bose-Mesner algebra  $\mathcal{A}(\Gamma)$  of matrices which are polynomials in  $\mathbf{A}$ . Moreover, if  $\Gamma$  has diameter  $D$ ,

$$\dim \mathcal{A}(\Gamma) = d + 1 \geq D + 1,$$

because  $\{I, \mathbf{A}, \mathbf{A}^2, \dots, \mathbf{A}^D\}$  is a linearly independent set of  $\mathcal{A}(\Gamma)$ . Hence, the diameter is always less than the number of distinct eigenvalues:  $D \leq d$ .

The diameter is always less than the number of distinct eigenvalues - example

- a) Consider graph  $\Gamma_5$ .



$$A = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix} \end{matrix}$$

Simple graph  $\Gamma_5$  and its adjacency matrix.

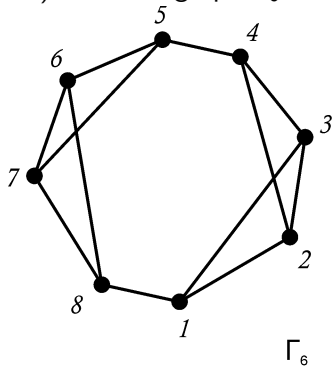


## The diameter is always less than the number of distinct eigenvalues - example

- Eigenvalues of  $\Gamma_5$  are  $\lambda_0 = 3$  and  $\lambda_1 = -1$ , so  $d + 1 = 2$ .  
Diameter is  $D = 1$ . Therefore  $D = d$ .

The diameter is always less than the number of distinct eigenvalues - example

- b) Consider graph  $\Gamma_6$ .



$$A = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \\ 8 \end{matrix} & \begin{bmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \end{bmatrix} \end{matrix}$$

Simple graph  $\Gamma_6$  and its adjacency matrix.

## The diameter is always less than the number of distinct eigenvalues - example

- Eigenvalues of  $\Gamma_6$  are  $\lambda_0 = 3$ ,  $\lambda_1 = \sqrt{5}$ ,  $\lambda_2 = 1$ ,  $\lambda_3 = -1$  and  $\lambda_4 = -\sqrt{5}$ , so  $d + 1 = 5$ . Diameter of  $\Gamma_6$  is  $D = 3$ . Therefore  $D < d$ .

## Hoffman polynomial

- Background of problem: Assume that someone give us some simple graph  $\Gamma$ . We want to know is there exists a polynomial  $H(x)$  such that

$$\mathbf{J} = H(\mathbf{A})$$

where  $\mathbf{J}$  is square matrix of order  $n$ , which every entry is one, and  $\mathbf{A}$  is adjacency matrix of  $\Gamma$ ?

## Hoffman polynomial

- A graph  $\Gamma = (V, E)$  with eigenvalues  $\lambda_0 > \lambda_1 > \dots > \lambda_d$  is a regular graph if and only if there exists a polynomial  $H \in \mathbb{R}_d[x]$  such that  $H(\mathbf{A}) = \mathbf{J}$ , the all-1 matrix. This polynomial is unique and it is called the Hoffman polynomial. It has zeros at the eigenvalues  $\lambda_i$ ,  $i \neq 0$ , and  $H(\lambda_0) = n := |V|$ . Thus,

$$H = \frac{n}{\pi_0} \prod_{i=1}^d (x - \lambda_i),$$

where  $\pi_0 := \prod_{i=0}^d (\lambda_0 - \lambda_i)$ .

## Some notation before definition of DRG

- Let  $\Gamma = (V, E)$  denote a simple connected graph with vertex set  $V$ , edge set  $E$  and diameter  $D$ . Let  $\partial$  denotes the path-length distance function for  $\Gamma$ .
- $\Gamma_i(x) := \{y \in V : \partial(x, y) = i\}$
- $|\Gamma_i(x) \cap \Gamma_j(y)|$  denote the number of elements of the set  $\Gamma_i(x) \cap \Gamma_j(y)$
- The eccentricity of a vertex  $u$  is  $\text{ecc}(u) := \max_{v \in V} \partial(u, v)$  and the diameter of the graph is  $D := \max_{u \in V} \text{ecc}(u)$ .

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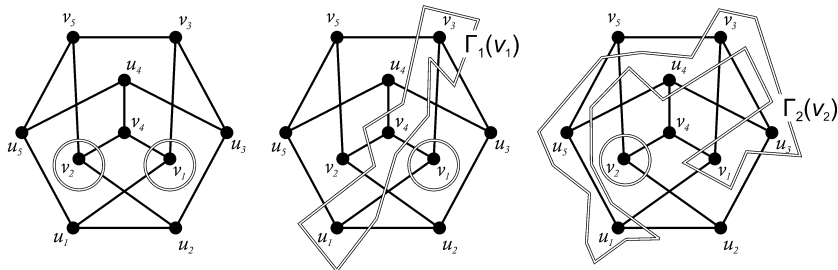
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## Some notation before definition of DRG - example



Petersen graph. We have  $\partial(v_1, v_2) = 2$ ,  $\Gamma_1(v_1) = \{u_1, v_3, v_4\}$ ,  
 $\Gamma_2(v_2) = \{u_1, u_3, u_4, u_5, v_1, v_3\}$ ,  $|\Gamma_1(v_1) \cap \Gamma_2(v_2)| = |\{u_1, v_3\}| = 2$ .

## Distance-regular graphs

### Definition (distance-regular graphs)

A simple connected graph  $\Gamma = (V, E)$  with diameter  $D$  is called distance-regular whenever there exist numbers  $p_{ij}^h$  ( $0 \leq h, i, j \leq D$ ) such that for any  $x, y \in V$  with  $\partial(x, y) = h$  we have

$$|\Gamma_i(x) \cap \Gamma_j(y)| = p_{ij}^h.$$

## Local distance-regular graph

### Definition (local distance-regular graph)

Let  $y \in V$  be a vertex with eccentricity  $\text{ecc}(y) = \varepsilon$  of a regular graph  $\Gamma$ . Let  $V_k := \Gamma_k(y)$  and consider the numbers

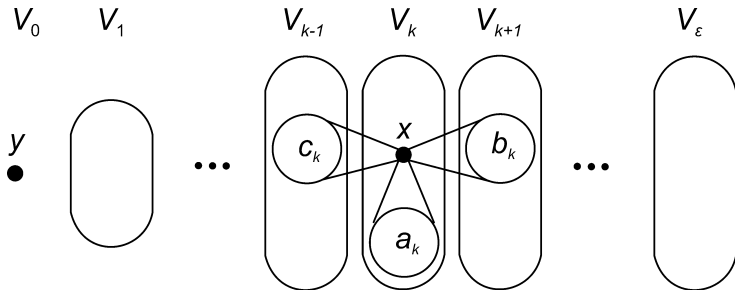
$$c_k(x) := |\Gamma_1(x) \cap V_{k-1}|,$$

$$a_k(x) := |\Gamma_1(x) \cap V_k|,$$

$$b_k(x) := |\Gamma_1(x) \cap V_{k+1}|,$$

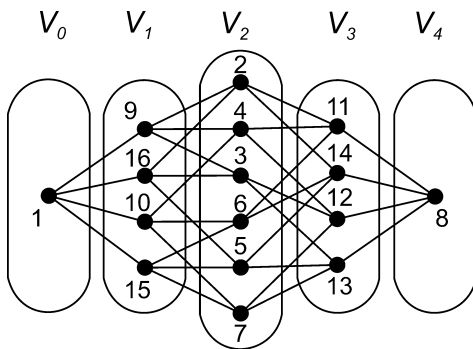
defined for any  $x \in V_k$  and  $0 \leq k \leq \varepsilon$  (where, by convention,  $c_0(x) = 0$  and  $b_\varepsilon(x) = 0$  for any  $x \in V_\varepsilon$ ). We say that  $\Gamma$  is distance-regular around  $y$  whenever  $c_k(x)$ ,  $a_k(x)$ ,  $b_k(x)$  do not depend on the considered vertex  $x \in V_k$  but only on the value of  $k$ .

## Local distance-regular graph



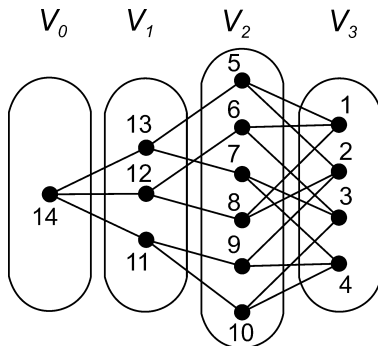
Intersection numbers around  $y$ .

## Local distance-regular graph - example



Simple connected regular graph that is distance-regular around vertices 1 and 8. This graph is known as Hoffman graph.

## Local distance-regular graph - example



Simple connected graph that is distance-regular around vertex 14.

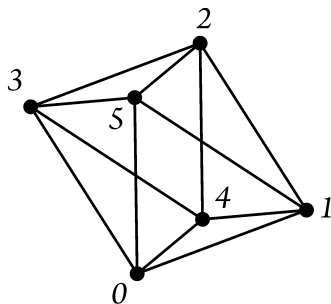
## Distance- $i$ matrix

### Definition (distance- $i$ matrix)

Let  $\Gamma = (V, E)$  denote a graph with diameter  $D$ , adjacency matrix  $\mathbf{A}$  and let  $\text{Mat}_\Gamma(\mathbb{R})$  denote the  $\mathbb{R}$ -algebra consisting of the matrices with entries in  $\mathbb{R}$ , and rows and columns indexed by the vertices of  $\Gamma$ . For  $0 \leq i \leq D$  we define distance- $i$  matrix  $\mathbf{A}_i \in \text{Mat}_\Gamma(\mathbb{R})$  with entries  $(\mathbf{A}_i)_{uv} = 1$  if  $\partial(u, v) = i$  and  $(\mathbf{A}_i)_{uv} = 0$  otherwise. Note that  $\mathbf{A}_0$  is the identity matrix and  $\mathbf{A}_1 = \mathbf{A}$  is the usual adjacency matrix of  $\Gamma$ .



## Distance- $i$ matrix - example



Distance- $i$  matrices for octahedron are

$$\mathbf{A}_0 = I, \quad \mathbf{A}_1 = \begin{bmatrix} 0 & 1 & 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 \end{bmatrix}, \quad \mathbf{A}_2 = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}.$$

## Distance $\circ$ -algebra

### Lemma

Let  $\mathbf{A}_i \in \text{Mat}_\Gamma(\mathbb{R})$  denote a distance- $i$  matrices. Vector space  $\mathcal{D}$  defined by

$$\mathcal{D} = \text{span}\{\mathbf{A}_0, \mathbf{A}_1, \mathbf{A}_2, \dots, \mathbf{A}_D\}$$

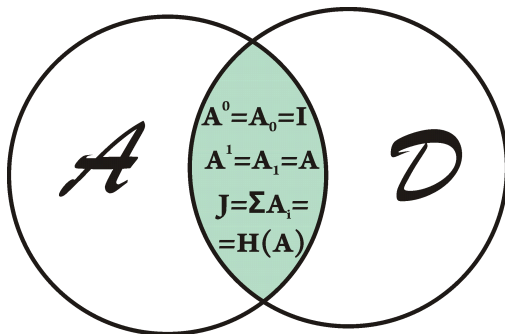
forms an algebra with the entrywise or Hadamard product of matrices, defined by  $(X \circ Y)_{uv} = (X)_{uv}(Y)_{uv}$ .

### Definition (distance $\circ$ -algebra)

Vector space  $\mathcal{D}$  from Lemma above will be called the distance  $\circ$ -algebra of  $\Gamma$ .

## An algebraic approach to DRG

- $\Gamma$  regular



- Adjacency algebra "A",  $\mathcal{A} = \text{span}\{\mathbf{A}^0, \mathbf{A}^1, \dots, \mathbf{A}^d\}$
- Distance algebra "D",  $\mathcal{D} = \text{span}\{\mathbf{A}_0, \mathbf{A}_1, \dots, \mathbf{A}_D\}$
- $\Gamma$  is not distance-regular

## Characterization A

### Theorem (characterization A)

Let  $\Gamma = (V, E)$  denote a graph with diameter  $D$  and let the set  $\Gamma_h(u)$  represents the set of vertices at distance  $h$  from vertex  $u$ .  $\Gamma$  is distance-regular if and only if it is distance-regular around each of its vertices and with the same intersection array (with another words if and only if for any two vertices  $u, v \in V$  at distance  $\partial(u, v) = h$ ,  $0 \leq h \leq D$ , the numbers

$$c_h(u, v) := |\Gamma_{h-1}(u) \cap \Gamma(v)|, \quad a_h(u, v) := |\Gamma_h(u) \cap \Gamma(v)|,$$

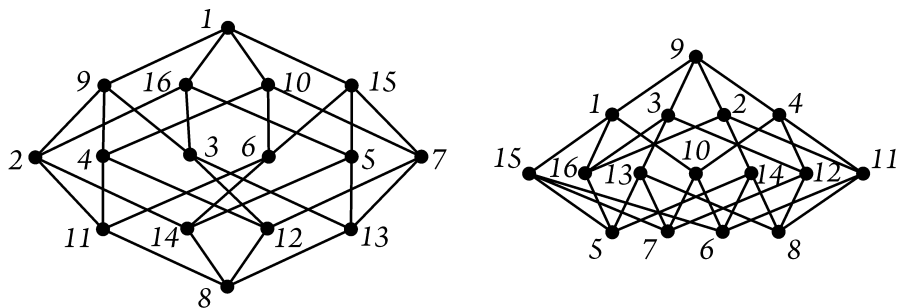
$$b_h(u, v) := |\Gamma_{h+1}(u) \cap \Gamma(v)|,$$

do not depend on the chosen vertices  $u$  and  $v$ , but only on their distance  $h$ ; in which case they are denoted by  $c_h$ ,  $a_h$ , and  $b_h$ , respectively).

## What is different between Definition of DRG and Characterization A

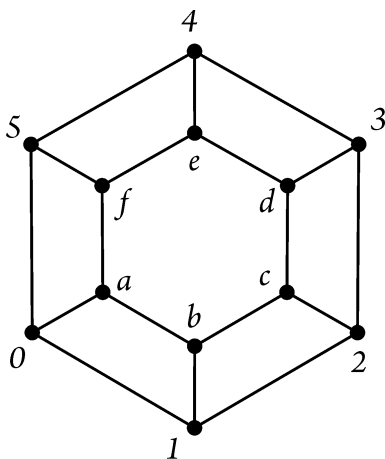
- In definition of DRG, for fix  $h$ , we must consider numbers  $p_{ij}^h$  for all  $0 \leq i \leq D$  and  $0 \leq j \leq D$ .
- In previous Corollary, for fix  $h$ , we consider just  $p_{1,h-1}^h$ ,  $p_{1h}^h$  and  $p_{1,h+1}^h$ , that is for  $i$  we pick 1 and for  $j$  we pick  $h-1$ ,  $h$  and  $h+1$ .

## Hoffman graph



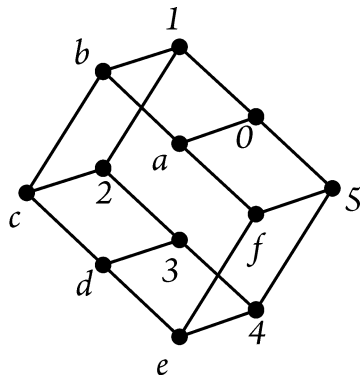
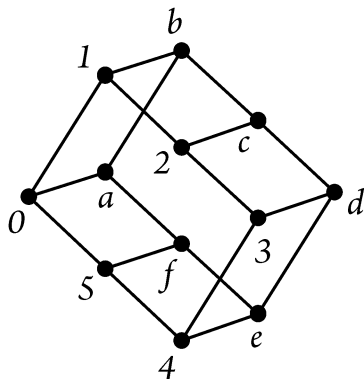
- Hoffman graph with distance partitions.

## Cyclic 6-ladder



- Cyclic 6-ladder is not distance-regular.

## Cyclic 6-ladder is not distance-regular

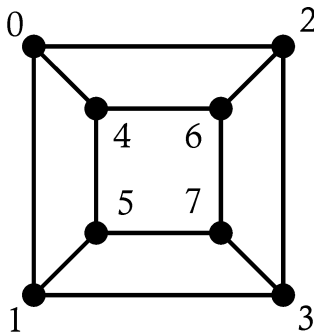


- Distance partition with respect to vertices 0 and c.



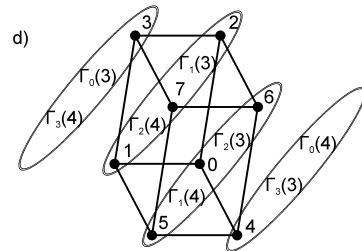
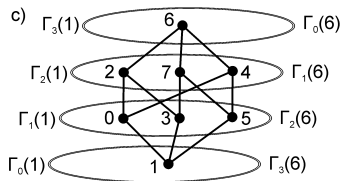
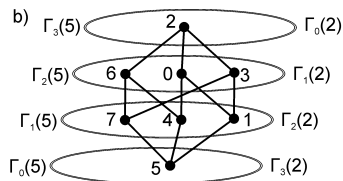
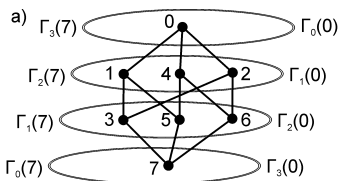
## The cube

- The graph that is pictured on Figure below is called cube. The cube is distance-regular graph.



The cube

## The cube - sketch of proof



- The cube drawn on four different way, and subsets of vertices at given distances from the root.

## Characterization B

### Theorem (characterization B)

A graph  $\Gamma = (V, E)$  with diameter  $D$  is distance-regular if and only if, for any integers  $0 \leq i, j \leq D$ , its distance matrices satisfy

$$\mathbf{A}_i \mathbf{A}_j = \sum_{k=0}^D p_{ij}^k \mathbf{A}_k \quad (0 \leq i, j \leq D)$$

for some constants  $p_{ij}^k$ .

## Characterization B'

### Theorem (characterization B')

A graph  $\Gamma = (V, E)$  with diameter  $D$  is distance-regular if and only if, for some constants  $a_h, b_h, c_h$  ( $0 \leq h \leq D$ ),  $c_0 = b_D = 0$ , its distance matrices satisfy the three-term recurrence

$$\mathbf{A}_h \mathbf{A} = b_{h-1} \mathbf{A}_{h-1} + a_h \mathbf{A}_h + c_{h+1} \mathbf{A}_{h+1} \quad (0 \leq h \leq D),$$

where, by convention,  $b_{-1} = c_{D+1} = 0$ .

## Characterization C

### Theorem (characterization C)

A graph  $\Gamma = (V, E)$  with diameter  $D$  is distance-regular if and only if  $\{I, \mathbf{A}, \dots, \mathbf{A}_D\}$  is a basis of the adjacency algebra  $\mathcal{A}(\Gamma)$ .

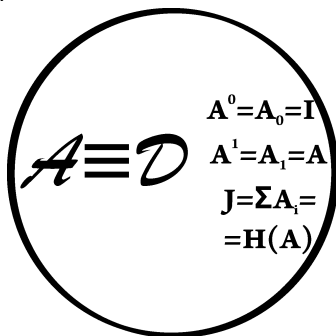
## Characterization C'

### Theorem (characterization C')

Let  $\Gamma$  be a graph of diameter  $D$  and let  $\mathbf{A}_i$  be the distance- $i$  matrix of  $\Gamma$ . Then  $\Gamma$  is distance-regular if and only if  $\mathbf{A}$  acts by right (or left) multiplication as a linear operator on the vector space  $\text{span}\{I, \mathbf{A}_1, \mathbf{A}_2, \dots, \mathbf{A}_D\}$ .

## An algebraic approach to DRG

- $\Gamma$  is distance-regular


$$\mathcal{A} \equiv \mathcal{D}$$
$$\begin{aligned} \mathbf{A}^0 &= \mathbf{A}_0 = \mathbf{I} \\ \mathbf{A}^1 &= \mathbf{A}_1 = \mathbf{A} \\ \mathbf{J} &= \sum \mathbf{A}_i = \\ &= \mathbf{H}(\mathbf{A}) \end{aligned}$$

- Adjacency algebra "A",  $\mathcal{A} = \text{span}\{\mathbf{A}^0, \mathbf{A}^1, \dots, \mathbf{A}^d\}$
- Distance algebra "D",  $\mathcal{D} = \text{span}\{\mathbf{A}_0, \mathbf{A}_1, \dots, \mathbf{A}_D\}$

## Hamming graphs

### Definition (Hamming graph)

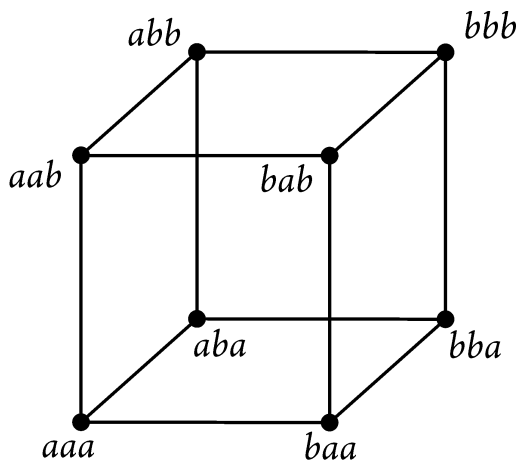
The Hamming graph  $H(n, q)$  is the graph whose vertices are words (sequences or  $n$ -tuples) of length  $n$  from an alphabet of size  $q \geq 2$ . Two vertices are considered adjacent if the words (or  $n$ -tuples) differ in exactly one term. We observe that  $|V(H(n, q))| = q^n$ .

### Lemma

*The Hamming graph  $H(n, q)$  is distance-regular (with  $a_i = i(q-2)$  ( $0 \leq i \leq n$ ),  $b_i = (n-i)(q-1)$  ( $0 \leq i \leq n-1$ ) and  $c_i = i$  ( $1 \leq i \leq n$ )).*

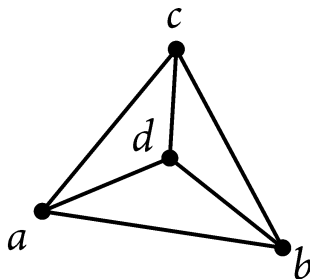


## Hamming graphs $H(3, 2)$



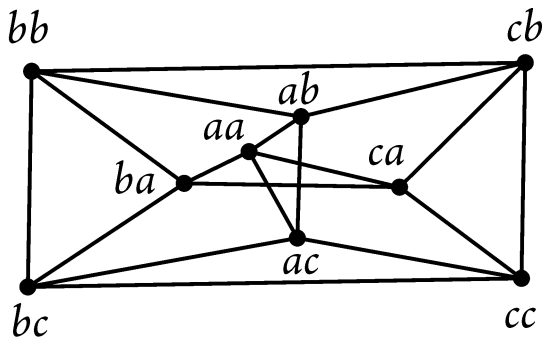
- Hamming graph  $H(3, 2)$ .

## Hamming graphs $H(1, 4)$



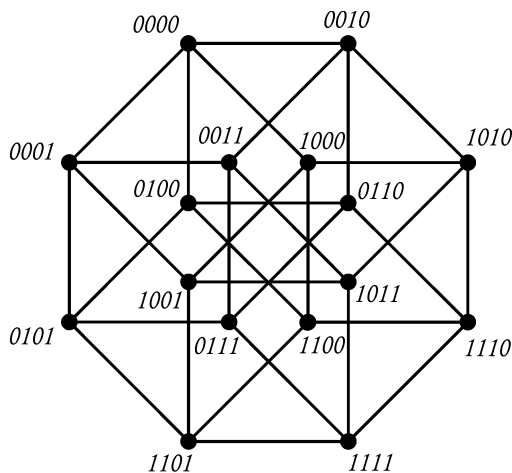
- Hamming graph  $H(1, 4)$ .

## Hamming graphs $H(2, 3)$



- Hamming graph  $H(2, 3)$ .

## Hamming graphs $H(4, 2)$



- Hamming graph  $H(4, 2)$ .

## Johnson graph

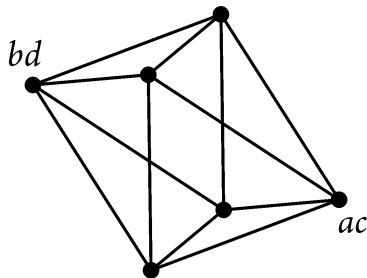
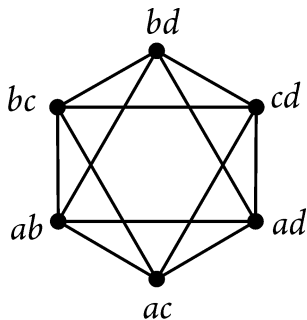
### Definition (Johnson graph $J(n, r)$ )

The Johnson graph  $J(n, r)$ , is the graph whose vertices are the  $r$ -element subsets of a  $n$ -element set  $S$ . Two vertices are adjacent if the size of their intersection is exactly  $r - 1$ . To put it on another way, vertices are adjacent if they differ in only one term. We observe that  $|V(J(n, r))| = \binom{n}{r}$ .

### Lemma

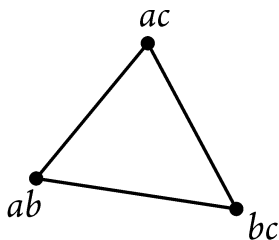
*Johnson graph  $J(n, r)$  is distance-regular with intersection numbers  $a_i = (r - i)i + i(n - r - i)$ ,  $b_i = (r - i)(n - r - i)$ ,  $c_i = i^2$ .*

## Johnson graph $J(4, 2)$



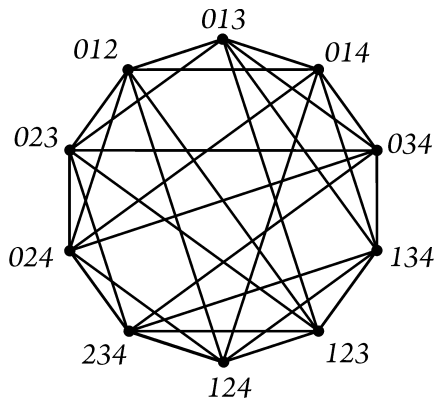
- Johnson graph  $J(4, 2)$ , drawn in two different ways (this graph is also known as octahedron).

## Johnson graph $J(3, 2)$



- Johnson graph  $J(3, 2)$ .

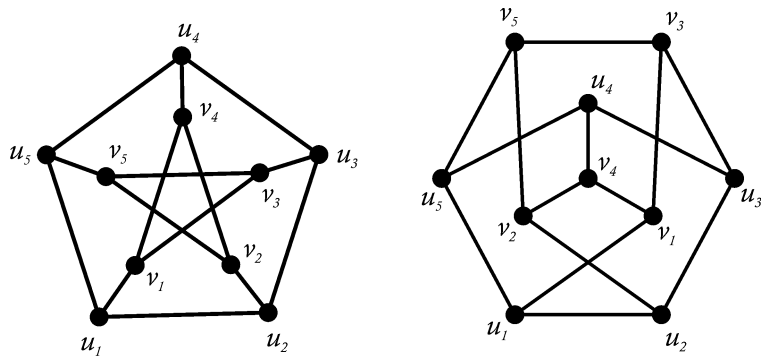
## Johnson graph $J(5, 3)$



- Johnson graph  $J(5, 3)$ .



## Petersen graph



$GPG(5,2)$

- Petersen graph  $GPG(5,2)$ , drawn in two ways.

## Distance-polynomial graphs, distance polynomials

### Definition (distance-polynomial graphs, distance polynomials)

Graph  $\Gamma$  is called a distance-polynomial graph if and only if its distance matrix  $\mathbf{A}_i$  is a polynomial in  $\mathbf{A}$  for each  $i = 0, 1, \dots, D$ , where  $D$  is the diameter of  $\Gamma$ . Polynomials  $\{p_k\}_{0 \leq k \leq D}$  in  $\mathbf{A}$ , such that

$$\mathbf{A}_k = p_k(\mathbf{A}) \quad (0 \leq k \leq D),$$

are called the distance polynomials (of course,  $p_0 = 1$  and  $p_1 = x$ ).

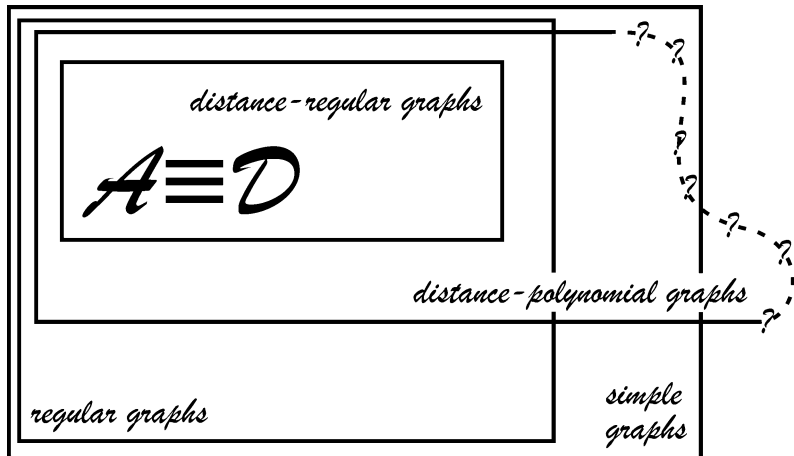
## Characterization D

### Theorem (characterization D)

A graph  $\Gamma = (V, E)$  with diameter  $D$  is distance-regular if and only if, for any integer  $h$ ,  $0 \leq h \leq D$ , the distance- $h$  matrix  $\mathbf{A}_h$  is a polynomial of degree  $h$  in  $\mathbf{A}$ ; that is:

$$\mathbf{A}_h = p_h(\mathbf{A}) \quad (0 \leq h \leq D).$$

## Illustration of classes for distance-regular and distance-polynomial graphs.



## Characterization E

### Theorem (characterization E)

A graph  $\Gamma = (V, E)$  is distance-regular if and only if, for each non-negative integer  $\ell$ , the number  $a_{uv}^\ell$  of walks of length  $\ell$  between two vertices  $u, v \in V$  only depends on  $h = \partial(u, v)$ .

## Characterization E'

### Theorem (characterization E')

A regular graph  $\Gamma = (V, E)$  with diameter  $D$  is distance-regular if and only if there are constants  $a_h^h$  and  $a_h^{h+1}$  such that, for any two vertices  $u, v \in V$  at distance  $h$ , we have  $a_{uv}^h = a_h^h$  ( $a_{uv}^h$  - number of walks of length  $h$ ) and  $a_{uv}^{h+1} = a_h^{h+1}$  for any  $0 \leq h \leq D - 1$ , and  $a_{uv}^D = a_D^D$  for  $h = D$ .

## Difference between Characterization E and E'

- $h = \partial(u, v)$
- In characterization E we consider the number  $a_{uv}^\ell$  of walks of length  $\ell$  between two vertices  $u, v \in V$ , where  $\ell = 1, 2, 3, \dots, h, h + 1, \dots$
- In characterization E' we consider the number  $a_{uv}^\ell$  of walks of length  $\ell$  between two vertices  $u, v \in V$ , where  $\ell = h, h + 1$ .

## Principal idempotents

### Definition (principal idempotents)

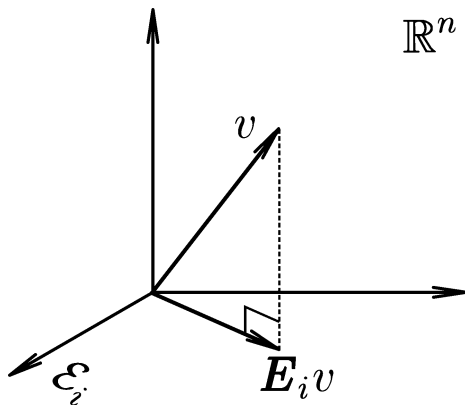
Let  $\Gamma = (V, E)$  denote simple graph with adjacency matrix  $\mathbf{A}$ , and let  $\lambda_0 \geq \lambda_1 \geq \dots \geq \lambda_d$  be distinct eigenvalues. For each eigenvalue  $\lambda_i$ ,  $0 \leq i \leq d$ , let  $U_i$  be the matrix whose columns form an orthonormal basis of its eigenspace  $\mathcal{E}_i := \ker(\mathbf{A} - \lambda_i I)$ . The principal idempotents of  $\mathbf{A}$  are matrices  $\mathbf{E}_i := U_i U_i^T$ .

### Theorem

*Principal idempotents of  $\Gamma$  represents the orthogonal projectors onto  $\mathcal{E}_i$ .*



Principal idempotents of  $\Gamma$  represents the orthogonal projectors onto  $\mathcal{E}_i$ .



## Some easy results

### Proposition

Let  $\Gamma = (V, E)$  denote a simple graph with adjacency matrix  $\mathbf{A}$  and with  $d + 1$  distinct eigenvalues  $\lambda_0, \lambda_1, \dots, \lambda_d$ . Principal idempotents  $\mathbf{E}_0, \mathbf{E}_1, \dots, \mathbf{E}_d$  satisfy the following properties:

$$(i) \mathbf{E}_i \mathbf{E}_j = \delta_{ij} \mathbf{E}_i = \begin{cases} \mathbf{E}_i & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases};$$

$$(ii) \mathbf{A} \mathbf{E}_i = \lambda_i \mathbf{E}_i, \text{ where } \lambda_i \in \sigma(\mathbf{A});$$

$$(iii) p(\mathbf{A}) = \sum_{i=0}^d p(\lambda_i) \mathbf{E}_i, \forall p \in \mathbb{R}[x], \text{ where } \lambda_i \in \sigma(\mathbf{A});$$

$$(iv) \mathbf{E}_0 + \mathbf{E}_1 + \dots + \mathbf{E}_d = \sum_{i=0}^d \mathbf{E}_i = \mathbf{I};$$

$$(v) \sum_{i=0}^d \lambda_i \mathbf{E}_i = \mathbf{A}, \text{ where } \lambda_i \in \sigma(\mathbf{A}).$$

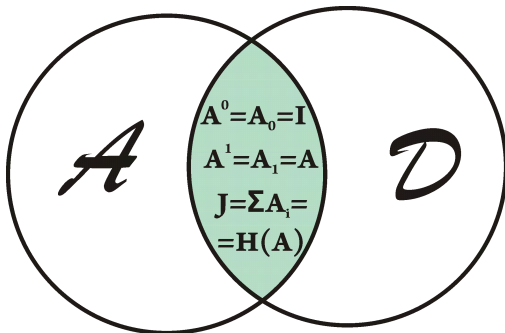
## Some easy results

### Proposition

*Set  $\{\mathbf{E}_0, \mathbf{E}_1, \dots, \mathbf{E}_d\}$  is an orthogonal basis of  $\mathcal{A}(\Gamma)$ .*

## An algebraic approach to DRG

- $\Gamma$  regular



- Adjacency algebra "•",  
 $\mathcal{A} = \text{span}\{\mathbf{A}^0, \mathbf{A}^1, \dots, \mathbf{A}^d\} = \text{span}\{\mathbf{E}_0, \mathbf{E}_1, \dots, \mathbf{E}_d\}$
- Distance algebra "o",  $\mathcal{D} = \text{span}\{\mathbf{A}_0, \mathbf{A}_1, \dots, \mathbf{A}_D\}$
- $\Gamma$  is not distance-regular

## Characterization D'

### Theorem (characterization D')

A graph  $\Gamma = (V, E)$  with diameter  $D$  and  $d + 1$  distinct eigenvalues is distance-regular if and only if  $\Gamma$  is regular, has spectrally maximum diameter ( $D = d$ ) and the matrix  $\mathbf{A}_D$  is polynomial in  $\mathbf{A}$ .

## Characterization F

### Theorem (characterization F)

$$\Gamma \text{ distance-regular} \iff \mathbf{A}_i \mathbf{E}_j = p_{ji} \mathbf{E}_j, \quad i, j = 0, 1, \dots, d (= D),$$

$$\iff \mathbf{A}_i = \sum_{j=0}^d p_{ji} \mathbf{E}_j, \quad i = 0, 1, \dots, d (= D),$$

$$\iff \mathbf{A}_i = \sum_{j=0}^d p_i(\lambda_j) \mathbf{E}_j, \quad i = 0, 1, \dots, d (= D),$$

$$\iff \mathbf{A}_i \in \mathcal{A}, \quad i = 0, 1, \dots, d (= D).$$

## Characterization G

### Theorem (characterization G)

A graph  $\Gamma$  with diameter  $D$  and  $d + 1$  distinct eigenvalues is a distance-regular graph if and only if for every  $0 \leq i \leq d$  and for every pair of vertices  $u, v$  of  $\Gamma$ , the  $(u, v)$ -entry of  $\mathbf{E}_i$  depends only on the distance between  $u$  and  $v$ .

## Characterization H

### Theorem (characterization H)

$$\begin{aligned} \Gamma \text{ distance-regular} &\iff \mathbf{E}_j \circ \mathbf{A}_i = q_{ij} \mathbf{A}_i, \quad i, j = 0, 1, \dots, d(= D), \\ &\iff \mathbf{E}_j = \sum_{i=0}^D q_{ij} \mathbf{A}_i, \quad j = 0, 1, \dots, d(= D), \\ &\iff \mathbf{E}_j = \frac{1}{n} \sum_{i=0}^d q_i(\lambda_j) \mathbf{A}_i, \quad j = 0, 1, \dots, d(= D), \\ &\iff \mathbf{E}_j \in \mathcal{D}, \quad j = 0, 1, \dots, d(= D). \end{aligned}$$



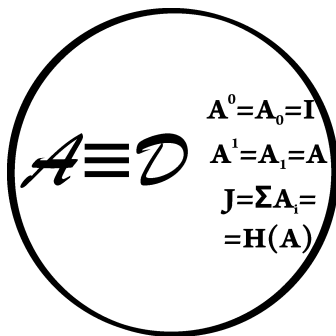
# Characterization I

## Theorem (characterization I)

$$\begin{aligned}
 \Gamma \text{ distance-regular} &\iff \mathbf{A}^j \circ \mathbf{A}_i = a_i^{(j)} \mathbf{A}_i, \quad i, j = 0, 1, \dots, d(= D), \\
 &\iff \mathbf{A}^j = \sum_{i=0}^d a_i^{(j)} \mathbf{A}_i, \quad i, j = 0, 1, \dots, d(= D), \\
 &\iff \mathbf{A}^j = \sum_{i=0}^d \sum_{\ell=0}^d q_{i\ell} \lambda_\ell^j \mathbf{A}_i, \quad j = 0, 1, \dots, d(= D), \\
 &\iff \mathbf{A}^j \in \mathcal{D}, \quad j = 0, 1, \dots, d.
 \end{aligned}$$

## An algebraic approach to DRG

- The following statements are equivalent:
  - (i)  $\Gamma$  is distance-regular,
  - (ii)  $\mathcal{D}$  is an algebra with the ordinary product,
  - (iii)  $\mathcal{A}$  is an algebra with the Hadamard product,
  - (iv)  $\mathcal{A} = \mathcal{D}$ .


$$\mathcal{A} \equiv \mathcal{D}$$
$$\begin{aligned} \mathbf{A}^0 &= \mathbf{A}_0 = \mathbf{I} \\ \mathbf{A}^1 &= \mathbf{A}_1 = \mathbf{A} \\ \mathbf{J} &= \sum \mathbf{A}_i = \\ &= \mathbf{H}(\mathbf{A}) \end{aligned}$$

## Spectrum

- The spectrum of a graph  $\Gamma$  is the set of numbers which are eigenvalues of  $\mathbf{A}(\Gamma)$ , together with their multiplicities as eigenvalues of  $\mathbf{A}(\Gamma)$ . If the distinct eigenvalues of  $\mathbf{A}(\Gamma)$  are  $\lambda_0 > \lambda_1 > \dots > \lambda_{s-1}$  and their multiplicities are  $m(\lambda_0), m(\lambda_1), \dots, m(\lambda_{s-1})$ , then we shall write

$$\text{spec}(\Gamma) = \{\lambda_0^{m(\lambda_0)}, \lambda_1^{m(\lambda_1)}, \dots, \lambda_{s-1}^{m(\lambda_{s-1})}\}.$$

## Predistance polynomials

### Definition (predistance polynomials)

Let  $\Gamma = (V, E)$  be a simple connected graph with  $|V| = n$  (number of vertices is  $n$ ). The predistance polynomials  $p_0, p_1, \dots, p_d$ ,  $\deg p_i = i$ , associated with a given graph  $\Gamma$  with spectrum  $\text{spec}(\Gamma) = \text{spec}(\mathbf{A}) = \{\lambda_0^{m_0}, \lambda_1^{m_1}, \dots, \lambda_d^{m_d}\}$ , are orthogonal polynomials with respect to the scalar product

$$\langle p, q \rangle = \frac{1}{n} \text{trace}(p(\mathbf{A})q(\mathbf{A})) = \frac{1}{n} \sum_{k=0}^d m_k p(\lambda_k)q(\lambda_k)$$

on the space of all polynomials with degree at most  $d$ , normalized in such a way that  $\|p_i\|^2 = p_i(\lambda_0)$ .

## Characterization J

### Theorem (characterization J)

A regular graph  $\Gamma$  with  $n$  vertices and predistance polynomials  $\{p_k\}_{0 \leq k \leq d}$  is distance-regular if and only if

$$q_k(\lambda_0) = \frac{n}{\sum_{u \in V} \frac{1}{s_k(u)}} \quad (0 \leq k \leq d),$$

where  $q_k = p_0 + \dots + p_k$ ,  $s_k(u) = |N_k(u)| = |\Gamma_0(u)| + |\Gamma_1(u)| + \dots + |\Gamma_k(u)|$ .

## Characterization K

### Theorem (characterization K)

A graph  $\Gamma = (V, E)$  with predistance polynomials  $\{p_k\}_{0 \leq k \leq d}$  is distance-regular if and only if the number of vertices at distance  $k$  from every vertex  $u \in V$  is

$$p_k(\lambda_0) = |\Gamma_k(u)| \quad (0 \leq k \leq d).$$

## Characterization J'

### Theorem (characterization J')

A regular graph  $\Gamma$  with  $n$  vertices and spectrum  $\text{spec}(\Gamma) = \{\lambda_0^{m(\lambda_0)}, \lambda_1^{m(\lambda_1)}, \dots, \lambda_d^{m(\lambda_d)}\}$  is distance-regular if and only if

$$\frac{\sum_{u \in V} n / (n - k_d(u))}{\sum_{u \in V} k_d(u) / (n - k_d(u))} = \sum_{i=0}^d \frac{\pi_0^2}{m(\lambda_i) \pi_i^2}.$$

where  $\pi_h = \prod_{\substack{i=0 \\ i \neq h}}^d (\lambda_h - \lambda_i)$  and  $k_d(u) = |\Gamma_d(u)|$ .

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